1	Human acute microelectrode array recordings									
2	with broad cortical access, single-unit resolution									
3	and parallel behavioral monitoring									
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6	Viktor M. Eisenkolb <sup>1,2</sup> , Lisa M. Held <sup>1</sup> , Alexander Utzschmid <sup>1</sup> , Xiao-Xiong Lin <sup>1</sup> , Sandro M. Krieg <sup>2</sup> ,									
7	Bernhard Meyer <sup>2</sup> , Jens Gempt <sup>2</sup> <sup>†</sup> , Simon N. Jacob <sup>1,2</sup> <sup>+</sup> <sup>†</sup> *									
8										
9	<sup>1</sup> Translational Neurotechnology Laboratory, Department of Neurosurgery, Klinikum rechts der Isar,									
10	Technical University of Munich, Ismaninger Str. 22, 81675 Munich, Germany.									
11	<sup>2</sup> Department of Neurosurgery, Klinikum rechts der Isar, Technical University of Munich, Ismaninger									
12	Str. 22, 81675 Munich, Germany.									
13										
14										
15	† These authors contributed equally to this work									
16										
17	* Corresponding author. Email: simon.jacob@tum.de									
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#### 19 Abstract

20 Human single-unit studies currently rely on neurosurgical procedures that provide only limited brain 21 coverage and on recording devices that do not integrate easily into established surgical routines. Here, 22 we report reliable and robust acute multi-channel recordings with broad cortical access using planar 23 microelectrode arrays (MEA) implanted intracortically in awake brain surgery. We provide a 24 comprehensive characterization of extracellular neuronal activity acquired intraoperatively in tumor 25 patients with large open craniotomies. MEA implantation was fast, safe and yielded high-quality signals 26 at the microcircuit, local field potential level, and at the cellular, single-unit level. Recording from 27 parietal association cortex, a region previously unexplored in human single-unit studies, we demonstrate 28 applications on these complementary spatial scales and describe travelling waves of oscillatory activity 29 as well as single-neuron and neuronal population responses during numerical cognition including 30 operations with uniquely human number symbols. Intraoperative MEA recordings are practicable and 31 can be scaled up to explore cellular and microcircuit mechanisms of a wide range of human brain 32 functions.

#### 33 Introduction

34 There are vast gaps in our understanding of the organization and operation of the human nervous system 35 at the level of individual neurons and their networks. Limited opportunities to directly access the human 36 brain call for multidisciplinary collaborations that combine expertise in neuroscience and clinical 37 medicine to invasively measure neuronal activity with single-unit resolution (Cash & Hochberg, 2015). 38 This approach has been most fruitful in patients with medically intractable epilepsy implanted with 39 microwire bundles (Fu et al., 2022; Kaminski et al., 2017; Kornblith et al., 2017; Kutter et al., 2018; 40 Minxha et al., 2020; Rutishauser et al., 2010; Sheth et al., 2012) and in patients with movement disorders 41 undergoing deep brain stimulation (DBS) (Jamali et al., 2019; Jamali et al., 2021; Zaghloul et al., 2009). 42 Two crucial challenges persist, however, in the investigation of the cellular and circuit physiology of 43 human brain functions. First, epilepsy and DBS surgeries do not provide comprehensive brain coverage, 44 leading to strong focusing of current human single-unit studies on the medial temporal lobe (MTL) and 45 on small circumscribed regions of the frontal lobe. Second, reliable and robust recording technology is 46 still lacking, meaning that clinicians must be trained on increasingly complex devices that necessitate 47 significant modifications to standardized and proven surgical procedures (Chung et al., 2022; Paulk et 48 al., 2022).

49 Broad access to the human cortex in large patient groups combined with easy-to-implement methods 50 would greatly accelerate progress in researching the neuronal basis of human brain functions. Here, we 51 demonstrate acute recordings from planar multi-channel microelectrode arrays (Utah MEAs) implanted 52 intracortically in patients operated awake for the removal of left-hemispheric brain tumors. Tumor 53 surgeries with open craniotomies expose large areas of cortex and allow for flexible placement of 54 recording devices, meaning that electrode positions can be adapted to research questions - not vice 55 versa. Awake surgeries with intraoperative functional mapping minimize the risk of postoperative 56 deficits by delineating functionally important regions and thus increase the precision of tumor resection 57 (Sanai et al., 2008). Patients undergoing awake surgery can perform a wide variety of tasks tapping into 58 sensorimotor functions, visuospatial functions, language and other higher cognitive functions 59 (Mandonnet & Herbet, 2021). Penetrating, intracortical MEAs are widely used for chronic 60 measurements of single-unit and population activity in non-human primates (Chen et al., 2020; Mitz et 61 al., 2017) and have shown potential for clinical applications (Schevon et al., 2019; Truccolo et al., 2011) 62 as well as for neurorestorative brain-computer-interfaces (BCIs) in humans (Aflalo et al., 2015; 63 Fernandez et al., 2021; Flesher et al., 2016; Hochberg et al., 2006; Pandarinath et al., 2017; Willett et 64 al., 2021).

65 Despite these successes, acute intraoperative MEA recordings to investigate human brain functions 66 have not been reported. Cortical microtrauma and neuronal 'stunning' are believed to prohibit 67 measurements with these devices shortly after implantation (Fernandez et al., 2014; House et al., 2006). 68 In this study, we show that these obstacles can be overcome with appropriate choice of the arrays' 69 geometrical configuration. All implanted arrays recorded high-quality extracellular signals at the 70 microcircuit level (local field potentials, LFPs). MEAs with increased electrode spacing, however, 71 outperformed standard arrays with higher densities and also captured activity at the cellular, single-unit 72 level. To demonstrate applications on these complementary spatial scales, we describe oscillatory 73 dynamics in the form of waves of activity travelling across human parietal association cortex, a region 74 previously unexplored in human single-unit studies, and investigate single-neuron mechanisms of 75 numerical cognition including operations with uniquely human symbolic quantities. Our findings 76 demonstrate that intraoperative MEA recording technology is suited to provide the high-volume 77 recordings necessary to advance translational research on the cellular and microcircuit basis of a wide 78 range of human brain functions.

#### 79 Results

#### 80 Intraoperative MEA implantation

81 Awake surgeries with open craniotomies enable direct, controlled investigations of human brain 82 functions while the patients are alert and can perform tasks of varying complexity (Mandonnet & 83 Herbet, 2021) (Fig. 1A). Craniotomies overlap in particular over the motor cortical regions and over 84 the posterior frontal lobes (Fig. 1B). They can extend anteriorly to the frontal pole and posteriorly to 85 the parieto-occipital junction, dorsally to the inter-hemispheric fissure (midline) and ventrally to the 86 temporal lobe. Typical craniotomies expose large regions of cortex (several tens of cm<sup>2</sup>), yielding broad 87 access to the human brain. Infrared thermal imaging during a representative surgery verified that 88 physiological temperatures are maintained at the cortical surface (Fig. 1C).

89 We performed a total of 13 acute microelectrode array (MEA) implantations in patients undergoing 90 surgery for brain tumor resection, eight of which were operated awake (Table 1). Except for the 91 procedures related to the array implantation, the course of the surgery was not changed. Following skin 92 incision, preparation and opening of the skull and dura mater, but before awakening the patient from 93 anesthesia, we placed the array's pedestal next to the craniotomy, anchored it with skull screws and 94 positioned the MEA over the target cortical area (Fig. 1D). Reference wires were inserted under the 95 dura. We intended for the implantation site to lie as remotely as possible from the bulk tumor tissue but 96 still within the pre-operatively determined resection area. The array was then pneumatically inserted 97 and covered with saline irrigated strips (Fig. 1E) until explantation, typically when tumor resection 98 started. With established and practiced procedures, the implantation could be performed in less than ten 99 minutes. We encountered no adverse clinical events in connection to MEA implantation or recordings, 100 neither during the surgery nor during routine patient follow-up over several months.

101 For each participant, the implantation site was reconstructed using intraoperative photographic 102 documentation as well as pre-operative structural MR imaging. Three implantations were located in 103 frontal cortex and ten in parietal cortex (Table 1). Examples of implantations in the middle frontal gyrus,

104 the supramarginal gyrus and the angular gyrus are shown (Fig. 1F).

We histologically analyzed three implantations (Table 1). Grids of electrode tracts could be clearly identified from the penetration of the pia mater along the course of the shafts to - in some instances the tip of the electrode (Fig. 1G). In two patients, cortical tissue surrounding the electrodes showed no structural abnormalities across the entire array. In one patient, we observed petechial microhemorrhages along several electrode tracts as well as in deep cortical layers (Fernandez et al., 2014; House et al., 2006) (Fig. 1H). However, these changes were strictly confined to the vicinity of the electrodes. We did not detect any pathology distant from the implantation site. In sum, implantation of intracortical MEAs in patients undergoing awake brain surgery is safe and practicable, achieving broad and direct access to the neuronal networks of the human cortical left hemisphere.

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#### 116 Extracellular signal quality on MEAs with differing geometrical configurations

In the group of patients operated for awake tumor resection, we discontinued the anesthesia following MEA implantation. We began recording wide-band extracellular activity (Fig. 2A) as soon as the patients were alert and able to engage in conversation with the clinical team and prior to cortical electrostimulation for mapping of language-associated areas. Typically, the arrays had been settling for 30 to 40 minutes. We emphasize that the surgery was not prolonged by this time period; we merely used the awakening time to allow for the signals to develop and stabilize.

123 We first sought to evaluate the ability to detect the activity of individual neurons (i.e. spikes), present 124 in the high frequency signal components (high-pass filter 250 Hz; Fig. 2B-F). We compared two 125 different MEA configurations: a standard, higher-density array with 400 µm electrode spacing (pitch) 126 and 96 active channels on a 10x10 grid and a custom, lower-density array with 800 µm pitch and 25 127 channels (Fig. 2C left and right, respectively). We performed four implantations with each array type 128 (Table 1). Technical difficulties with grounding (P08, higher-density array) and a medical complication 129 not related to the implantation (P12, lower-density array) did not allow us to advance to neuronal 130 recording in two surgeries. In one case, we observed an abrupt drop in signal quality a few minutes into 131 data acquisition (P13, lower-density array), prompting us to omit this data set from in-depth analysis. 132 Qualitatively, prior to the unexplained event, the recording was not different from the other lower-133 density recordings.

134 The likelihood of recording spiking activity varied significantly between array configurations. In an 135 example higher-density array, spiking activity of sufficiently high amplitudes for subsequent waveform 136 sorting was present in only a few channels (Fig. 2D, left). In contrast, in an example lower-density 137 array, spikes were detected on all electrodes (Fig. 2D, right). SNRs in this array were stable across the 138 entire recording (25 minutes), with the exception of a single large electrical artefact leading to an 139 increase in noise (Fig. 2E; Fig. S1A, B). This did not impact spike amplitudes, however, which 140 remained stable during data acquisition. Across all successful recordings, this pattern was reproduced 141 (Fig. 2F): in three consecutive implantations with the higher-density array (five implantations including 142 two anesthetized participants, Table 1), we did not observe appreciable spiking activity (2% of 143 channels). In three consecutive implantations with the lower-density array (one recording not shown 144 due to early termination, see above), we obtained spikes on the majority of channels (78 % of channels; 145 p < 0.001, Fisher's exact test higher-density vs. lower-density arrays). In the event that spiking activity

146 could be recorded, SNRs were comparable (mean 17.1  $\pm$  0.9 dB and 16.8  $\pm$  0.8 dB for higher-density

147 and lower-density arrays, respectively; p = 0.91, two-tailed Wilcoxon test).

148 Next, we evaluated the quality of LFPs, a measure of local network activity, i.e. the low-frequency 149 component of our extracellular recordings (low-pass filter 250 Hz; Fig. 2G-J). Epochs of increased LFP 150 activity were readily detected in both higher-density and lower-density arrays and across all channels 151 (Fig. 2H; same example arrays as in Fig. 2D). In both array configurations, SNRs were high and 152 displayed spatial clusters of similar signal strength. In the lower-density array, the clusters of high 153 spiking SNR and high LFP SNR overlapped. As for the spiking activity, LFP signals were stable across 154 the recording session and affected only momentarily due to a single electrical artefact (Fig. 2I; Fig. S1A, 155 B). Across all successful recordings, LFP SNRs were very uniform across channels (mean  $15.5 \pm 0.1$  dB 156 and  $15.7 \pm 0.03$  dB for higher-density and lower-density arrays, respectively; Fig. 2J).

Overall, electrical artefacts could be well controlled during intraoperative data acquisition. Very rarely, we observed a single high-amplitude 'pop' across all electrodes that disrupted recordings for a few hundred milliseconds until the signals settled again (Fig. S1A, B). 50 Hz line noise and its harmonics were regularly present in the recordings (Fig. S1C, D), but could be efficiently removed by offline filtering. Good grounding (i.e. strong connection of the pedestal to the skull) significantly reduced the hum. Bad choice of grounding, in contrast, lead to signal contamination, e.g. by facial muscle activity (Fig. S1E, F).

164 To determine whether single units could be isolated from the population (multi-unit) spiking activity 165 (Fig. 3A), we sorted the thresholded waveforms. Distinct waveform clusters representing well-isolated 166 single units were separated from noise (Fig. 3B, C) with little to no loss of spikes around the detection 167 threshold (false negatives, Fig. 3D; less than 5 % of spikes in 74 % of units), no contamination by spikes 168 violating the refractory period (false positives, Fig. 3E; less than 1 % of spikes in all units), stable firing 169 rates throughout the recording session (Fig. 3F) and little to no mixing of spikes between different 170 clusters (Fig. 3G). Following this procedure, single units could be isolated on the majority of electrodes 171 in the example lower-density array (Fig. 3H), with two or more single units present on multiple 172 channels. Across all analyzed recordings, single units were rarely picked up by the higher-density arrays 173 (2 % of channels) but frequently isolated on the lower-density arrays (62 % of channels; p < 0.001, 174 Fisher's exact test higher-density vs. lower-density arrays). On lower-density array electrodes with

175 sortable spikes, we recorded on average 1.6 single units per electrode.

While single neurons represent the brain's elementary processing units, it is increasingly recognized that temporal coordination and synchronization of neuronal activity across distances is crucial in particular for higher cognitive functions (Fries, 2015). Given their planar, grid-like configuration with well-defined spatial relationships between individual electrodes, MEAs are ideally suited to investigate

180 the lateral propagation of activity in cortical networks. Several studies with chronic MEA recordings

181 have reported waves of oscillatory brain activity that travel across the non-human primate and human 182 cortex (Bhattacharya et al., 2022; Rubino et al., 2006; Sato et al., 2012; Takahashi et al., 2011) and 183 could reflect higher-order organization of neuronal processing in space and time (Muller et al., 2018). 184 Examination of oscillatory beta activity  $(20 \pm 1.5 \text{ Hz})$  in a higher-density recording showed LFP peaks 185 temporally shifted across neighboring electrodes with ordered progression of activity from the top to 186 the bottom of the array (Fig. 4A). At each timepoint, LFP phases across the array could be approximated 187 by a linear plane with non-zero slope aligned to the direction of activity propagation, in agreement with 188 the notion of a travelling wave. We extracted and characterized such travelling waves in 500 ms epochs 189 following presentation of visual stimuli (sample numbers, see Fig. 5) for both theta (6 - 9 Hz) and beta 190 LFP bands (15 - 35 Hz; Fig. 4B-E). Waves travelled in preferred directions (p < 0.001 in theta and beta, 191 Hodges-Ajne test for nonuniformity) that were frequency-band-specific (Fig. 4B). A second modal 192 direction almost opposing the dominant primary direction suggested a spatial propagation axis 193 (Fig. 4B), in line with intracranial EEG and ECoG recordings (Das et al., 2022; Zhang & Jacobs, 2015; 194 Zhang et al., 2018) and during ictal discharges in patients with epileptic seizures (Liou et al., 2017; 195 Smith et al., 2016). With increasing oscillatory frequency, travelling waves were detected less often 196 (Fig. 4C) and showed higher propagation velocities (theta mean 0.57 m/s, beta mean 2.40 m/s; Fig. 4D), again matching data from chronic implantations. Spatial phase gradients fit the plane model well in both 197 198 frequency bands (measured by Phase-Gradient Directionality, PGD; theta mean 0.72, beta mean 0.62; 199 Fig. 4E). For comparison, we conducted the same analysis in a lower-density recording (Fig. 4F-J). In 200 this participant, beta waves dominated (Fig. 4H) with steeper phase gradient slopes indicating slower 201 propagation speeds (theta mean 0.23 m/s, beta mean 0.96 m/s; Fig. 4I). Overall, travelling waves were 202 again reliably detected (PGD theta mean 0.72, beta mean 0.71; Fig. 4J) and obeyed the same regularities 203 as in the higher-density recording.

In sum, our neurophysiological signal analysis showed that acquisition of multi-channel extracellular neuronal activity via intracortically implanted MEAs is feasible in the setting of awake brain surgery with its tight clinical and procedural constraints. Mesoscale network (LFP) activity for studying both local and propagating neuronal oscillations was obtained in high quality in every recording, while the extent of microscale spiking activity and yield of single units depended on the array configuration and favored the use of MEAs with increased electrode spacing.

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#### 211 **Probing higher cognitive functions in awake brain surgery**

In parallel to neuronal data acquisition, we administered a task to the participants to probe the human number sense, a higher-level cognitive function of the parietal and (lateral) prefrontal association cortex that enables us to represent and manipulate abstract numerical categories (Nieder, 2016). The frontoparietal cortex has undergone disproportionate expansion in human evolutionary history, but is hardly ever targeted in single unit studies with DBS or epilepsy patients.

217 All six patients with recordings from either higher-density or lower-density arrays (Figs. 2 and 3) 218 performed a delayed-match-to-sample task requiring them to memorize a visually presented sample 219 number and compare it to a subsequently presented test number (Fig. 5A). Stimuli were presented either 220 in nonsymbolic notation (sets of dots, numerosities) or in symbolic notation (Arabic numerals), 221 allowing us to investigate the neuronal coding of and mapping between 'non-verbal' number, which 222 animals have access to, and 'verbal' number, which is unique to humans. Four patients performed well 223 in all conditions, whereas two patients (P07 and P09, higher-density arrays) did not exceed chance level 224 in the nonsymbolic (dot) trials and were excluded from further analysis. There was only a small 225 reduction in intra-operative response accuracy compared with pre-operative training levels (p = 0.04, 226 one-tailed t-test; Fig. 5B) and a small increase in intra-operative response times (p = 0.23, one-tailed t-227 test per participant; p < 0.001, one-tailed Wilcoxon test with pooled trials; Fig. 5C). Following a brief 228 'warm-up' period, all patients maintained high performance levels throughout the recording session and 229 completed between 200 and 300 trials (Fig. 5D).

230 The patients' task performance was qualitatively very similar during pre-operative training and intra-231 operative recording and not distorted (compare Fig. 5E, F with Fig. 5G, H). Errors were more frequent 232 during surgery, in nonsymbolic trials and for larger numbers ( $p_{setting} = 0.02$ ,  $p_{notation} = 0.003$ , 233 p<sub>number</sub> = 0.01, 3-factorial ANOVA; Fig. 5E, G). Behavioral tuning functions (Fig. 5F, H) showed that 234 participants correctly matched sample and test stimuli in particular for small numbers (peak of each 235 curve), while accuracy dropped with increasing number. In non-match trials, the percentage of errors 236 depended on the numerical distance between sample and test (distance effect; fewer errors for larger 237 distances) and on the absolute magnitudes of the compared numbers (size effect; fewer errors for small 238 numbers). Together, these results show that all key behavioral signatures of numerical cognition were 239 captured by the task administered to the participants.

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#### 241 Human neuronal coding of number at the micro- and mesoscale level

Extracellular recordings in the non-human primate frontoparietal cortex suggest that single units tuned to individual numerosities give rise to numerical cognitive abilities (Jacob et al., 2018; Jacob & Nieder, 2014; Nieder et al., 2006). The human neuronal code for number in these brain areas, however, is not known. Leveraging the flexibility in array placement and high-quality data obtained with MEA recordings from open craniotomies, we illustrate here a potential application of this method by exploring - in parietal cortex (inferior parietal lobule, IPL) of an example participant (P10) - the neuronal correlates of the human number sense at the single-neuron and neuronal network level. 249 In nonsymbolic trials, an example single unit strongly increased its firing rate after presentation of the 250 sample stimulus (Fig. 6A, left). The increase was graded and a function of sample numerosity with peak 251 activity for 7 and 8 dots. This unit's firing rates were smaller and more transient in trials with symbolic 252 number, but showed a similar graded response (Fig. 6A, right). Average firing rates in the 500 ms epoch 253 following sample presentation confirmed significant tuning to nonsymbolic number, but failed to reach 254 significance in symbolic trials due to the distinct temporal activity profile (Fig. 6B). Thus, this single 255 unit carried information ( $\omega^2$  percent explained variance) about sample notation and numerosity 256 (Fig. 6C). Similar responses were found in a different example single unit recorded on a neighboring 257 electrode (Fig. 6D-F). An example multi-unit measured on a different electrode of the same array was 258 tuned to nonsymbolic number 1 (Fig. 6G, left). This unit also showed a congruent response in trials 259 with symbolic numbers, albeit with distinct dynamics and a more categorical coding of small versus 260 large numbers (Fig. 6G, right and Fig. 6H, I).

261 To provide a population-wide perspective on number coding, we trained a linear discriminant analysis 262 (LDA) decoder to separate small from large numerosities using the entire spiking activity recorded 263 across the array (Fig. 6J-L). In trials with nonsymbolic number, decoding accuracy was high and peaked 264 (86%) after sample presentation, matching the single unit responses. Cross-temporal training and 265 decoding showed a dynamically evolving code across the memory delay with reduced off-diagonal 266 accuracy (Fig. 6J). In trials with symbolic number, decoding was less accurate (62 % peak) and only 267 possible in the first half of the memory delay, again matching single unit responses (Fig. 6K). The 268 results of cross-notation decoding (training on nonsymbolic number, testing on symbolic number) were 269 qualitatively similar with decoding accuracy bounded by the weaker coding of symbolic number 270 compared to nonsymbolic number (Fig. 6L).

271 We then directly compared the microscale neuronal activity elicited during the task with mesoscale 272 network responses. At the same electrode on which the number-tuned single unit shown in Fig. 6A-C 273 was recorded, LFP power varied strongly with sample number and notation (and their interaction) in 274 particular in the gamma band (45 - 100 Hz;  $\omega^2$  percent explained variance; Fig. 7A). However, in 275 contrast to the early changes in spiking activity, sample selectivity measured by LFPs increased only 276 150 ms after sample offset (compare e.g. Fig. 7A left with Fig. 6A left). In the 500 ms epoch following 277 sample number presentation, gamma power increased monotonically with numerosity in nonsymbolic 278 trials, but did not vary with symbolic number (p < 0.001 and p = 0.46, respectively, one-factorial 279 ANOVA; Fig. 7B top). On two neighboring channels (same electrodes on which units shown in 280 Fig. 6D-F and Fig. 6G-I were recorded) a qualitatively similar pattern was found (p < 0.001 and 281 p = 0.02, respectively, one-factorial ANOVA; Fig. 7C, D top), albeit with a clear spatial gradient. Beta 282 responses, in contrast, were spatially more uniform, underscoring the local nature of gamma activity 283 and the potentially distinct functional reach of the analyzed frequency bands (Fig. 7B-D bottom). Of 284 note, while not all units in Fig. 6 were tuned to the same preferred number, LFP power scaled uniformly with numerosity across electrodes (compare Fig. 6G left with Fig. 7D top). Analysis of propagating
oscillatory activity across the array also showed that, at equal strength, travelling waves were faster for
larger numerosities (Fig. 7E).

288 Our proof-of-concept results suggest that, first, the human parietal cortex harbors single units that are 289 tuned to number, establishing a previously missing link to the non-human primate animal model. 290 Second, at the single-neuron level, nonsymbolic set sizes are coded with graded and continuous 291 responses, displaying no sign of neuronal subitizing. A well-studied behavioral signature of the 292 approximate number system, subitizing denotes the accurate apprehension of small numbers of items 293 at a glance (evidenced by a disproportionate increase in errors for larger numerosities in nonsymbolic, 294 but not symbolic notation; single-subject data for P10 [dashed lines] in Fig. 5E, G) and is thought to 295 indicate different representational systems for small and large quantities (Piazza et al., 2011). Our 296 findings are not compatible with this hypothesis and rather argue that the representation of small and 297 large quantities emerges from a single system (Chevette & Piantadosi, 2020). Third, symbolic numbers 298 are coded with distinct temporal dynamics and more categorical responses than nonsymbolic quantities, 299 in line with recent findings in the human MTL (Kutter et al., 2018). However, the number code partially 300 generalizes across notations with number-congruent responses for nonsymbolic and symbolic stimuli. 301 Fourth, spiking activity and oscillatory activity reflect distinct aspects of numerical information 302 processing in the local microcircuit, with LFPs possibly capturing in particular the network's load-303 dependent activity state.

#### 304 Discussion

305 We found that intracortically implanted MEAs are suitable for acute recordings of human brain activity 306 at both meso- and microscale resolution (Figs. 2-4). All arrays acquired LFPs (synaptic network 307 activity) with high fidelity. Increasing the interelectrode spacing also allowed us to record responses 308 from populations of single units. The devices can be used in awake surgeries with large open 309 craniotomies, providing broad access to the cortex (Fig. 1) in patients who achieve close to normal 310 levels of cognitive performance (Fig. 5). We illustrated a potential application by exploring the neuronal 311 correlates of human numerical cognition in parietal cortex (Figs. 6, 7), a brain region that is typically 312 inaccessible in DBS or epilepsy surgery, i.e. in procedures that so far have produced the vast majority 313 of intracranial data tapping into the neuronal underpinnings of human cognitive functions.

314 We believe the comparative ease with which MEA recordings can be introduced into the operating room 315 and incorporated into established neurosurgical procedures to be their greatest advantage. Positioning 316 of the array and implantation can be completed within ten minutes. After insertion, the arrays 'float' on 317 cortex. No extra manipulators or electrode holders are required (Chung et al., 2022; Paulk et al., 2022). 318 The arrays readily follow brain movements, yielding stable recordings without the need for additional 319 mechanical stabilization (Jamali et al., 2019; Jamali et al., 2021). Slight shifts of the skull in awake 320 participants and above all vertical displacements of the cortex during brain pulsations pose a major 321 challenge when externally secured probes are used that occupy a different spatial reference frame than 322 the tissue they record from, necessitating elaborate post-acquisition motion correction (Chung et al., 323 2022; Paulk et al., 2022). Furthermore, penetrating MEAs are robust, have a well-documented safety 324 profile and are used with equipment that has been validated for sterilization and re-use. There is no risk 325 of shank breakage, no inadvertent deposition of electrode material in brain tissue, and no need to 326 perform piotomies to allow entry of the device into cortex as with more delicate (e.g. Neuropixels) 327 probes (Chung et al., 2022; Paulk et al., 2022). Good grounding could be reliably achieved either by 328 anchoring the pedestal to the skull or by establishing a strong connection to the head frame. Both 329 configurations were effective in our experience and sufficient to reduce electrical hum and noise to 330 levels that enable high-quality extracellular recordings despite an environment full of potential sources 331 of interference. We did not find it necessary to turn off suction, lighting, warming blankets or any other 332 piece of medical equipment during recording.

The arrays' grid-like electrode arrangement allows for dense sampling of neuronal activity in the horizontal plane, i.e. from a patch of cortex. There is rapidly mounting interest in the mechanisms by which propagating neuronal activity, e.g. in form or travelling waves (Fig. 4), mediates intercortical information transfer (Bhattacharya et al., 2022; Das et al., 2022; Rubino et al., 2006; Sato et al., 2012; Takahashi et al., 2011; Zhang & Jacobs, 2015; Zhang et al., 2018). In contrast to microwire bundles with their irregularly placed electrode tips or linear probes that record from one single cortical column, MEAs with their well-defined planar geometry are ideally suited to address such questions. Spatial 340 coverage may be extended even further by the addition of ECoG grids, which can be placed directly on

- top of MEAs, or intracranial stereo EEG leads (Chiang et al., 2020; Tong et al., 2021; Vaz et al., 2020).
- 342 Lastly, using MEAs in open craniotomy surgeries where the implanted tissue is resected (as in our
- 343 participants) opens up the possibility of complementing the in vivo recordings with in vitro
- 344 physiological or histological analyses to explore structural-functional relationships in neural circuit

345 organization (Loomba et al., 2022).

346 MEAs with increased interelectrode spacing (25 channels) recorded on average more than one well-347 isolated single unit per channel (Fig. 3). Per patient and recording session, this yield is similar to semi-348 chronic recordings in epilepsy patients (2 to 3 neurons per microwire bundle with up to 10 bundles 349 implanted per patient (Fu et al., 2022; Kutter et al., 2018)). Acute DBS recordings from prefrontal cortex 350 (10 to 20 neurons per participant (Jamali et al., 2019; Jamali et al., 2021)) or midbrain structures (fewer 351 than 10 neurons per participant (Zaghloul et al., 2009; Zaghloul et al., 2012)) yield less. Efforts are 352 currently underway to establish acute intracranial recordings with high-density linear probes 353 (Neuropixels), which have been reported to pick up between several tens of neurons in open 354 craniotomies (Chung et al., 2022) to a few hundred units in DBS burr holes (Paulk et al., 2022). Critical 355 technical challenges are still to be met, but these probes could eventually provide a valuable addition to 356 the armamentarium of intraoperative recording devices from which the neurophysiologist and 357 neurosurgeon can chose depending on the particular research question and clinical setting.

358 The arrays' geometrical configuration was a crucial determinant of spiking activity SNR (Fig. 2). This 359 is likely a consequence of the electrodes' comparatively large footprint (thickness 180 - 200 µm near 360 the base), the main disadvantage of the MEAs used in this study. Lower-density arrays produce less 361 cortical trauma, thereby increasing the chances of measuring single unit activity shortly after array 362 insertion. Our histological analyses showed microhemorrhages in some (Fernandez et al., 2014; House 363 et al., 2006), but not all implantations of standard 96 channel arrays. Cortical neuronal 'stunning' might 364 therefore be an important reason for the very low single unit yield in higher-density arrays. Fittingly, 365 unit activity in our recordings only appeared after several minutes and continued to develop until data 366 acquisition began when the patient was fully awake, a time period significantly longer than recently 367 reported for thinner linear probes (Chung et al., 2022; Paulk et al., 2022). A second limitation of the 368 described setup is the difficulty in precisely controlling pneumatic array insertion. Whether the inserter 369 wand is stabilized by a dedicated holder or manually (we preferred the latter to expedite implantation), 370 the inherent variability in inserter positioning will significantly affect the forces that the electrode pad 371 experiences during implantation, much unlike micromanipulator-controlled implantations of e.g. linear 372 probes. Imperfect alignment of the inserter with the array could disproportionately impact implantations 373 of higher-density arrays and in older patients (Fernandez et al., 2014), where optimal forces are required 374 to overcome the increased resistance to insertion from the pial meninges and brain tissue. We found it 375 best to place the inserter into direct contact with the array, applying very gentle downward pressure to

eliminate dead space between the electrode tips and cortical surface (Fig. 1). This approach resulted in
complete array insertions and reproduceable signals for both higher-density and lower-density arrays
(Fig. 2).

High-volume recordings are necessary to accelerate progress in our understanding of the neuronal basis 379 380 of human brain functions. Awake surgeries for tumor resection are performed at many medical centers. 381 We have shown here that these procedures are as suitable for acquiring cellular resolution data from the 382 human brain as DBS or epilepsy surgeries. As any other probe in the expanding palette of multichannel 383 recording devices (Chung et al., 2022; Paulk et al., 2022), intracortical MEAs do not promise a fail-safe 384 or turn-key solution. However, the technology is more mature and more lenient in the intraoperative 385 setting where clinical constraints considerably limit options for optimizing the recording setup and 386 neuronal signal quality. Once mastered, it can also be effectively put to use in chronic (e.g. BCI) 387 applications where MEAs represent the gold-standard for intracranial sensors. Human single-unit 388 recordings are multidisciplinary endeavors, for which all stakeholders must advance beyond their 389 comfort zones. The methods we describe here can stimulate productive collaborations between 390 neuroscientists and clinicians and propel forward the exploration of the unique neural computations 391 performed by the human brain.

#### 392 Materials and Methods

#### 393 Experimental design

We included 13 participants in this study with intracerebral tumors (mainly glioblastoma) referred to our department for surgical resection (Table 1). All study procedures were conducted in accordance with the Declaration of Helsinki guidelines and approved by institutional review board (IRB) of the Technical University of Munich (TUM) School of Medicine (528/15 S). Participants were enrolled after giving informed consent. The scientific aims of this study had no influence on the decision to operate. With the exception of array implantation, the course of the surgery was not altered.

400

#### 401 Multielectrode arrays and implantation procedure

402 Per participant, one Neuroport IrOx planar multielectrode array (Blackrock Neurotech) was implanted. 403 In nine patients, we implanted the standard array with 96 wired (active) electrodes on a 10x10 grid 404  $(1.5 \text{ mm electrode length, interelectrode spacing 400 <math>\mu$ m). In four patients, we implanted a custom array 405 with 25 channels, which was produced by removal of every second row and column from the standard 406 array (interelectrode spacing 800 µm; Fig. 2c). The array's pedestal was first anchored to the skull 407 adjacent to the craniotomy. The array was then positioned on the cortical surface of the to-be-implanted 408 gyrus guided by MRI-neuronavigation (Brainlab, Germany). Care was taken to avoid prominent 409 vascular structures, which in some cases prompted us to deviate from the preoperatively determined 410 implantation site by a few millimeters. References wires were inserted under the dura.

411 The array was implanted pneumatically following the manufacturer's guidelines (Blackrock Neurotech).

We found that introducing a dedicated external wand holder was inconvenient, and that positioning of the holder unnecessarily prolonged the implantation procedure. We therefore secured the wand manually such that it touched the array's dorsal pad and brought the electrode tips into contact with the pia. Insertion was performed with a single pulse (20 psi, pulse width 3.5 ms). We did not systematically explore different insertion pressure or pulse width settings. The array was then covered with saline irrigated strips and left to settle as the patient was allowed to awake from anesthesia.

All equipment in contact with the patient (inserter wand, trigger, tubing, headstages, cabling) was resterilized (Steris V-Pro) and used in multiple surgeries.

In all participants, the implantation site was chosen to lie within the resection area surrounding the tumor. In some cases, however, intraoperative evaluation determined that the implanted tissue could not be safely resected, so that the array was removed from the brain tissue prior to closure of the dura and the craniotomy. In three participants (P01, P02 and P03), the resected implantation region was formalin-fixed with the array *in situ* and processed further for histological analysis (hematoxylin eosin staining). 426 Cortical surfaces were reconstructed from individual participants' structural MRI using BrainSuite

- 427 (Shattuck & Leahy, 2002). The implantation site was marked manually, guided by intraoperative
- 428 neuronavigation data and photographic documentation. Individual MRI scans were then normalized to
- the MNI-152 template in SPM12 (Wellcome Center Human Neuroimaging). The macroanatomical
- 430 cortical area corresponding to the implantation site was determined using the JuBrain SPM anatomy
- 431 toolbox (Forschungszentrum Jülich).
- 432

#### 433 Neurophysiological recordings

We recorded intraoperative neuronal data in eight participants. Extracellular voltage signals were
acquired using either analog patient cable headstages in combination with a front-end amplifier (P04,
P05, P06, P07 and P09) or digital Cereplex E128 headstages connected to digital hubs (P10, P11 and
P13) as part of a 128-channel NSP system (NeuroPort Biopotential Signal Processing System,
Blackrock Neurotech). Settings for signal amplification, filtering and digitization were identical in both
setups (high-pass 0.3 Hz, low-pass 7.5 kHz, sampling rate 30 kHz, 16-bit resolution).

- 440 We did not find it necessary to switch between the two reference wires, both of which provided high-441 quality reference signals in all cases. However, particular attention was paid to achieving a strong 442 ground connection via the pedestal. Long skull screws (6 mm) in combination with intermittent 443 irrigation of the pedestal's base where it contacted the skull produced the best results. Impedances were 444 checked after array implantation and in most surgeries were initially higher than the upper bound of the 445 normal range (80 k $\Omega$  for IrOx electrodes), but continued to normalize over the course of several tens of 446 minutes. We attributed this to improving electrical conductivity at the pedestal-skull interface. 447 Additional ground connections were not necessary and could even contaminate signals if placed badly 448 (e.g. subdermal needles in the vicinity of musculature).
- 449

#### 450 Behavioral task and stimuli

451 Six participants performed a delayed-match-to-number task during neuronal recording. MonkeyLogic

452 2 (NIMH) running on a dedicated PC was used for experimental control and behavioral data acquisition.

453 Behavioral time stamps were transmitted to the NSP system for parallel logging of neuronal data and

- 454 behavioral events.
- 455 We familiarized participants with the task ahead of the surgery and allowed them to complete multiple
- 456 training trials. Participants viewed a 12" monitor positioned 40 50 cm in front of them. They were
- 457 instructed to maintain eye fixation on a central white dot and pressed a button on a hand-held device to
- initiate a trial. Stimuli were presented on a centrally placed gray circular background subtending approx.
- 459 9,4 ° of visual angle. Following a 500 ms pre-sample period, a 150 ms sample stimulus was shown. In

460 nonsymbolic trials, 2, 3, 4, 6, 7 or 8 randomly arranged black dots specified the corresponding 461 numerosity. In symbolic trials, black Arabic numerals (Arial, 40 - 56 pt) were shown. The participants 462 were required to memorize the sample number for 1,000 ms and compare it to the number of dots (in 463 nonsymbolic trials) or the Arabic numeral (in symbolic trials) presented in a 1,000 ms test stimulus. If 464 the quantities matched (50% of trials), participants released the button (correct Match trial). If the 465 quantities were different (50 % of trials), the participants continued to push the button until the matching 466 quantity was presented in the subsequent image (correct Non-match trial). Match and non-match trials 467 and nonsymbolic and symbolic trials were pseudo-randomly intermixed.

- 468 New stimuli were generated for each participant and recording. Low-level, non-numerical visual 469 features could not systematically influence task performance (Jacob et al., 2018): in half of the 470 nonsymbolic trials, dot diameters were selected at random. In the other half, dot density and total 471 occupied area were equated across stimuli.
- 472

#### 473 Behavioral performance

474 Behavioral tuning functions were used to describe the percentage of trials (y axis) for which a test 475 stimulus (x axis, units of numerical distance to sample number) was judged as being equal in number 476 to the sample. A numerical distance of 0 denotes match trials; the data point represents the percentage 477 of correct trials. As the numerical distance increases, there is less confusion of the test with the sample 478 number; the data points represent the percentage of error trials. Tuning curves were calculated 479 separately for trials with nonsymbolic stimuli and for trials with symbolic stimuli.

480

#### 481 Spiking activity and single unit quality metrics

- 482 Raw signals were filtered (250 Hz high-pass, 4-pole Butterworth), and spike waveforms were manually
- 483 separated from noise using Offline Sorter (Plexon). Signal-to-noise ratio (SNR) was calculated as

$$SNR = 20 * log_{10}(\frac{V_{PP}}{V_{RMS}})$$

485 where  $V_{pp}$  is the mean peak-to-peak spike amplitude of a given channel and  $V_{RMS}$  is the root-mean-486 square (RMS) voltage

$$V_{RMS} = \sqrt{\frac{1}{N} \sum_{n=1}^{N} x_n^2}$$

488 with  $x_n$  being individual voltage values (Fig. 2D top). Spike SNR was calculated across the entire 489 recording session (Fig. 2D bottom) or in sliding windows (Fig. 2E; 60 s bins, 30 s steps). 490 Thresholded waveforms were manually sorted into clusters of single units (Offline Sorter). We 491 estimated the rate of false negatives (missed spikes) by fitting a gaussian to the distribution of spike 492 troughs (Fig. 3D). Autocorrelograms (Fig. 3E) were calculated by shifting a unit's spike train in steps 493 of 1 ms over a range of 1 to 25 ms. To determine the percentage of outlier spikes (Fig. 3G) (Meirhaeghe 494 et al., 2021), each spike was considered as a point on a 2D plane spanned by the first two principal 495 components that were used for spike sorting. For each spike, the Mahalanobis distance to the 496 corresponding cluster's average waveform was calculated. A chi-square distribution was then fitted to 497 the distribution of distances (Hill et al., 2011). If the likelihood of a given spike to belong to this 498 distribution was lower than a fixed threshold (the inverse of the total number of spikes in the given 499 cluster), it was considered an outlier spike.

500

#### 501 Local field potentials and quality metrics

502 Data was processed using the FieldTrip toolbox (Oostenveld et al., 2011). Raw signals were filtered 503 (1.5 Hz high-pass, 1-pole Butterworth; 250 Hz low-pass, 3-pole Butterworth), and line noise was 504 removed (2-pole Butterworth band-stop filters of  $\pm$  0.2 Hz at 50 Hz and harmonics). LFP traces were 505 then visually inspected for large-amplitude artefacts, which were excluded from further analysis.

506 Spectral transformation was performed with the additive superlet method (Moca et al., 2021). SNR was 507 calculated in sliding windows (60 s bins, 30 s steps) and then averaged across windows for the session-508 SNR (Fig. 2H bottom) or presented as time-resolved data (Fig. 2I). For each bin and channel, states of 509 high and low LFP activity were identified and used for signal and noise estimators, respectively (Fig. 2H 510 top) (Compte et al., 2008; Suarez-Perez et al., 2018). High and low activity states were derived from 511 the smoothed LFP amplitude envelope (100 ms averaging window) obtained through complex Hilbert 512 transform. Any timepoints of the smoothed envelope that fell outside of three standard deviations of its 513 distribution were marked as artefacts and automatically assigned to the noise intervals. The mean of the 514 smoothed envelope, excluding artefact timepoints, served as a detection threshold for high activity 515 states. Thus, epochs of the smoothed envelope surpassing the threshold for at least 400 ms were 516 considered states of high activity, whereas all others counted as low activity states (Compte et al., 2008). 517 SNR was then calculated as

518 
$$SNR = 20 * log_{10} \left( \frac{\frac{1}{N_{High}} \sum_{n=1}^{n=N_{High}} PP(High_n)}{\frac{1}{N_{Low}} \sum_{n=1}^{n=N_{Low}} RMS(Low_n)} \right)$$

519 where N<sub>High</sub> and N<sub>Low</sub> are the number of high or low activity states, respectively, PP (peak-to-peak 520 amplitude) is the difference between the highest and lowest voltage reading during a given high activity 521 state and RMS is

522 
$$RMS = \sqrt{\frac{1}{N} \sum_{n=1}^{N} x_n^2}$$

523 with  $x_n$  being individual voltage values of an interval of low activity.

524 The Power-Spectral-Density (PSD) was calculated using Welch's method. Specifically, across five 525 minutes of the recording (0:30 to 5:30 min), modified periodograms in 3-s bins (smoothed using a 526 Hamming window) with 50 % overlap were obtained by Fast Fourier transform (FFT) and averaged 527 (Zilio et al., 2021).

528

#### 529 Travelling waves

530 We assumed the simplest form of travelling waves, a planar wave with linear phase gradient (Rubino 531 et al., 2006). First, zero-phase bandpass filters ( $\pm$  1.5 Hz) were applied for each frequency of interest 532 (theta: 6 to 9 Hz; beta: 15 to 35 Hz, in steps of 1 Hz) and every channel. We then applied the Hilbert 533 transform (Hlb) to the resulting signal (V) to obtain the instantaneous phase  $\varphi(x,y,t)$  of each time point 534 (t) and channel position (x,y)

535 
$$V(t, x, y) + iHlb[V(x, y, t)]) = a(x, y, t)e^{i\varphi(x, y, t)}$$

Instantaneous phases were unwrapped and de-noised (Woods, 2011). Next, a plane model was fit to thedata using linear regression. The plane was modelled as

538 
$$\varphi(t, x, y) = b_x(t)x + b_y(t)y + \varphi_c(t)$$

539 With  $b_x(t)$  and  $b_y(t)$  being the slope of the plane in the x-direction and y-direction at time t, respectively, 540 and  $\varphi_c(t)$  the constant phase shift at time t. The model's goodness-of-fit was expressed by the Phase-541 Gradient Directionality (PGD) (Rubino et al., 2006). PGD is the Pearson correlation between the 542 predicted and actual phase and is given by

543 
$$PGD(t) = \frac{\sum_{i}^{N_{ch}} ((\varphi(t, x_i, y_i) - \overline{\varphi}(t))(\widehat{\varphi}(t, x_i, y_i) - \overline{\widehat{\varphi}}(t)))}{\sqrt{\sum_{i}^{N_{ch}} (\varphi(t, x_i, y_i) - \overline{\varphi}(t))^2 \sum_{i}^{N_{ch}} (\widehat{\varphi}(t, x_i, y_i) - \overline{\widehat{\varphi}}(t))^2}}$$

544 with  $\overline{\varphi}$  being the average and  $\hat{\varphi}$  the predicted phase.

545 When zero fell outside the 99<sup>th</sup> percentile of at least one of the coefficients'  $b_x$  or  $b_y$  confidence intervals 546 and PGD was bigger than 0.5, a moment in time was considered for travelling wave-like activity 547 (Rubino et al., 2006). The direction (Woods, 2011) and speed (Rubino et al., 2006) of the travelling 548 wave-like activity were then calculated as

549 
$$direction(t) = \arctan(\frac{b_y(t)}{b_x(t)})$$

550 
$$speed(t) = \frac{\omega(t)}{\sqrt{b_x(t)^2 + b_y(t)^2}}$$

551 with  $\omega(t)$  being the instantaneous angular velocity.

552 A travelling wave epoch was defined by non-zero slopes in the phase gradient with a PGD > 0.5 for a 553 minimum length of 5 ms and a maximal average change in direction of 3 deg/ms. Polar distributions 554  $(10^{\circ} \text{ bins})$  that showed a second peak reaching 25 % or more of the distribution's modal value and that

555 significantly differed from uniformity (Hodges-Ajne test) were considered bidirectional.

556

#### 557 Neuronal information

558 To quantify the information about sample number and notation that was carried by a neuron's spiking 559 rate, we used the  $\omega^2$  percent explained variance measure (Jacob & Nieder, 2014).  $\omega^2$  reflects how much 560 of the variance in a neuron's firing rate can be explained by a given factor. It was calculated in sliding 561 windows (100 ms bins, 20 ms steps) using

562 
$$\omega^2 = \frac{SS_{Groups} - df * MSE}{SS_{Total} + MSE}$$

563 where the individual terms are derived from a two-way categorical ANOVA: SS<sub>Groups</sub> denotes the sum-564 of-squares between groups (numbers), SS<sub>Total</sub> the total sum-of-squares, df the degrees of freedom, and 565 MSE the mean squared error. The number of trials in each group was balanced. Balancing was 566 accomplished by stratifying the number of trials in each group to a common value: A random subset of 567 trials was drawn (equal to the minimum trial number across groups) and the statistic was calculated. 568 This process was repeated 25 times, and the overall statistic was taken to be the mean of the stratified 569 values. Significance thresholds were determined by randomly shuffling the association between spiking 570 rates and trial type (number and notation) during the pre-sample epoch (500 ms). This process was repeated 1,000 times, and the significance threshold was set to the 99th percentile of the cumulative 571 572 distribution (p < 0.01).

573 For task information contained in LFPs, we calculated  $\omega^2$  in sliding windows (5 ms bins, 0.25 ms steps, 574 1 Hz bins, 1 Hz steps) using spectral power derived as described above.

575

#### 576 Linear discriminant analysis

577 Unsorted (multi-unit) spikes were aggregated into firing rates using Gaussian windows with 50 ms

sigma and 50 ms step size. Trials were grouped for small numbers (2, 3, 4) and large numbers (6, 7, 8).
A procedure of 7-fold cross validation with 7 repetitions was used, resulting in 49 training and testing

580 set pairs. At every time step, an LDA decoder (Scikit-learn package in Python) was trained on the

581 activity of the current time step in the training set and tested on all the time steps in the testing set in 582 order to investigate how well the code generalizes across different timesteps. Decoding accuracy is 583 given as the average across test trials. LDA finds the component that maximizes the Mahalanobis 584 distance between the centroids of small and large number classes. The algorithm assumes equal within-585 class covariance in different classes. Shrinkage of the empirical covariance matrix was applied by 586 averaging the empirical covariance matrix with a diagonal matrix, discounting the spurious covariation 587 between units. The amount of shrinkage was determined by the Ledoit-Wolf lemma (Ledoit & Wolf, 588 2004).

589

### 590 Statistical analysis

591 All data analysis was performed with MATLAB (Mathworks) and Python.

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### 602 **Competing interests**

603 Authors declare that they have no competing interests.

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791

Fig 1. Awake brain surgery and intraoperative microelectrode array implantation. (A) Schematic of awake brain surgery providing access to the human cortex for microelectrode recordings in participants who can perform cognitive tasks. (B) Overlap of craniotomy locations in neurosurgical patients operated awake for the removal of left-hemispheric brain tumors (n = 58 surgeries performed in our department over the course of five years) projected onto the ICBM template brain. (C) Infrared

thermal imaging of the cortical surface during a typical craniotomy procedure. (D) Placement of the

798 microelectrode array in preparation of implantation. (E) Pneumatic insertion of the microelectrode array

into cortex. (F) Cortical surface reconstruction of the implantation site in three example participants.

800 The probability of implantation in the specified gyrus is given according to the JuBrain probabilistic

801 cytoarchitectonic map. (G) Histological sections of an example implantation site showing electrode

802 tracts as they penetrate the pia mater (top left, longitudinal section), along the electrode shaft (bottom

803 left, axial section) and at the electrode tip (right, arrow). (H) Histological section of a different

804 implantation site showing microhemorrhages along the electrode tracts (single arrow) and in deeper

805 cortical layers (double arrow).

806





808 Fig 2. Extracellular neuronal signals recorded from microelectrode arrays with different 809 densities. (A) Wide-band extracellular voltage signal recorded at an individual electrode (10 s trace). 810 (B) High-pass filtered signal showing extracellular spiking activity in the section highlighted in (A) (2 s 811 trace). (C) CAD drawings of the standard higher-density microelectrode array (left, 96 active channels) 812 and of the custom lower-density microelectrode array (right, 25 active channels) used for intraoperative 813 recordings. (D) Top: Schematic of the procedure for identifying spikes in high-pass filtered voltage 814 signals. Bottom: Session-averaged SNR of a representative higher-density and a lower-density array 815 (left and right, respectively). (E) Time course of spike SNR (top), peak-to-peak amplitude (middle) and 816 RMS noise (bottom) across the entire session recorded with the lower-density array in (D). Note the 817 brief increase in noise and reduction in SNR in the middle of the recording. (F) Distribution of spike 818 SNR values obtained from electrodes in higher-density and lower-density recordings (top and bottom, 819 respectively). (G) Low-pass filtered signal showing oscillatory LFP activity in the section highlighted 820 in (A) (2 s trace). (H) Top: Schematic of the procedure for quantifying SNR in low-pass filtered voltage 821 signals. Bottom: Session-averaged SNR of a representative higher-density and a lower-density array 822 (left and right, respectively; same arrays as in (D)). (I) Time course of LFP SNR (top), peak-to-peak 823 amplitude in high activity states (middle) and RMS in low activity states (bottom) across the entire 824 session recorded with the lower-density array in (D). Note the same deflections in LFP noise and SNR 825 as in the spike-filtered signal in (E). (J) Distribution of LFP SNR values obtained from electrodes in 826 higher-density and lower-density recordings (top and bottom, respectively).

## Figure 3

827





- 841 well-isolated activity of one or more single units recorded from higher-density and lower-density arrays
- 842 (top and bottom, respectively).

## Figure 4



Fig 4. Propagation of waves of oscillatory activity across microelectrode arrays. (A) Example travelling wave recorded on a higher-density array. Top: peaks of LFP beta activity  $(20 \pm 1.5 \text{ Hz})$  are temporally shifted across neighboring electrodes, illustrating the propagation of neural activity. Middle: demeaned LFP activity (amplitude) across the array at four example timepoints. Bottom: phase gradient across the array per timepoint. The arrow indicates the direction of wave propagation (from top to bottom). Inset: linear plane fitted to the phase gradient across the array at one example timepoint. (**B**-

- 850 E) Distribution of travelling wave directions (B), count per frequency bin (C), speed (D) and plane
- 851 model goodness-of-fit (PGD, E) in the theta (6 9 Hz, left) and beta (15 35 Hz, right) band in 500 ms
- epochs following the presentation of visual stimuli (sample numbers, see Fig. 5). Insets in (D) and (E)
- 853 show frequency-resolved speed and PGD, respectively. p-values in (B) are given for Hodges-Ajne test
- for nonuniformity. (F-J) Same layout for travelling waves recorded on a lower-density array. PGD,
- 855 phase gradient directionality.

## Figure 5



856

857 Fig 5. Preoperative and intraoperative cognitive performance in patients undergoing awake brain 858 surgery. (A) Delayed-match-to-number task. Participants memorized the number of the sample 859 stimulus and compared it to a subsequently presented test number. Trials were presented either in 860 nonsymbolic notation (sets of dots, numerosities) or in symbolic notation (Arabic numerals). (B) Preoperative and intraoperative task performance (n = 4 participants; one-tailed t-test). 861 862 (C) Preoperative and intraoperative response times in match trials on a per-participant basis (left) and 863 pooled across trials (right) (one-tailed t-tests). (D) Time courses of intraoperative task performance 864 across sessions. (E) Percentage of errors during preoperative behavioral testing plotted as a function of 865 sample number and stimulus notation. Inset: performance pooled across small numbers (2-4) and large

- 866 numbers (6-8). Error bars indicate SEM across participants. Dashed lines mark single-subject data for
- 867 P10 (see Figs. 6, 7) (F) Preoperative behavioral tuning functions for trials with numbers presented in
- 868 nonsymbolic and symbolic notation (top and bottom, respectively). Performance is shown for all
- 869 sample-test-combinations. The peak of each curve represents the percentage of correct match trials, and
- 870 other data points mark the percentage of errors in non-match trials. Error bars indicate SEM across
- 871 participants. (G) Same layout as in (E) for intraoperative testing. (H) Same layout as in (F) for
- 872 intraoperative testing.





#### 874 Fig 6. Single unit and neuronal population coding of nonsymbolic and symbolic number. 875 (A) Spike raster plots and spike-density histograms (smoothed using a 150 ms Gaussian window) for 876 an example single unit recorded in the inferior parietal lobe. Trials are sorted by sample numerosity and 877 by stimulus notation (left: nonsymbolic, right: symbolic). Sample presentation is highlighted. (B) Firing 878 rate of the neuron in (A) in the 500 ms epoch following presentation of nonsymbolic and symbolic 879 sample numerosities (left and right, respectively; one-factorial ANOVA). (C) Sliding-window $\omega^2$ 880 percent explained variance (two-factorial ANOVA) quantifying the information about sample number 881 and notation as well as their interaction contained in the firing rate of the neuron in (A) in correct trials. 882 Dashed line marks the significance threshold (p = 0.01; shuffle distribution). (D-F) Same layout as in 883 (A-C) for a different single unit recorded on a neighboring channel on the same microelectrode array. 884 (G-I) Same layout as in (A-C) for a multi-unit recorded on a neighboring channel on the same 885 microelectrode array. (J) Cross-temporal LDA decoding of nonsymbolic number (small, i.e. 2-4, versus 886 large, i.e. 6-8) in the 1000 ms memory epoch following sample presentation using spiking activity

887 (multi-units) on all channels of the microelectrode array. Sample presentation is highlighted. (K) Same

 $888 \qquad \text{layout as in (J) for symbolic number. (L) Same layout as in (J) for cross-notation decoding. The decoder}$ 

889 was trained in trials with nonsymbolic numerosities and tested in trials with symbolic numerosities.

## Figure 7

890



891 Fig 7. Local and propagating oscillatory neuronal activity during number coding. (A) Sliding-892 window  $\omega^2$  percent explained variance (two-factorial ANOVA) quantifying the information about 893 sample number (left) and notation (middle) as well as their interaction (right) contained in the LFP 894 power spectrum of an example single channel on a lower-density array (same channel as in Fig. 6A-C) 895 in correct trials. Sample presentation is highlighted. (B) LFP power in the gamma (45 - 100 Hz, top) 896 and beta (15 - 35 Hz, bottom) band in the 500 ms epoch following sample number presentation as a 897 function of sample number in nonsymbolic and symbolic notation. Same channel as in (A). p-values 898 are given for one-factorial ANOVA. (C) Same layout as in (B) for a neighboring single channel. 899 (D) Same layout as in (C) for a neighboring single channel. (E) Speed (top) and goodness-of-fit (PGD, 900 bottom) of LFP beta band travelling waves propagating across the array in the 500 ms epoch following 901 sample number presentation for small (2-4) and large (6-8) numbers in nonsymbolic and symbolic 902 notation. p-values are given for one-factorial ANOVA.

# **Supplementary Figure S1**







906 large-amplitude electrode 'pop' with prolonged voltage settling time in a lower-density array

- 907 recording. Note the voltage scale and compare to subsequent panels. Two representative
- 908 channels are highlighted in (B) together with their location on the MEA grid (inset). (C,
- 909 D) Line noise (50 Hz) and its harmonics in the same recording as in (A, B). (E,
- 910 F) Contamination of the ground in a higher-density array recording by frontal facial and
- 911 ocular muscle activity leading to intermittent slow artefacts.

## **Table 1. Study participants.**

## 

ID	Sex	Age	Tumor location	Procedure	State	Array location	Channels	Spikes	Behavior	Notes
P01	F	68	right frontal	histology	anesthetized	inferior parietal cortex	96	N/A	N/A	
P02	М	54	right parietal	histology	anesthetized	inferior parietal cortex	96	N/A	N/A	
P03	М	62	right parietal	histology	anesthetized	inferior parietal cortex	96	N/A	N/A	
P04	М	56	left frontal	setup testing and recording	anesthetized	middle frontal gyrus	96	no	N/A	
P05	F	75	left central	setup testing and recording	anesthetized	superior frontal gyrus	96	no	N/A	
P06	М	57	left parietal	recording	awake	angular/supramarginal gyrus	96	(yes)	number task	spiking activity on very few channels only
P07	М	73	left parietal	recording	awake	angular /supramarginal gyrus	96	no	number task	performance non-symbolic trials $\downarrow$
P08	F	55	left parietal	recording	awake	inferior parietal cortex	96	N/A	N/A	no data acquisition bad ground
P09	М	51	left fronto- parietal	recording	awake	middle frontal gyrus	96	no	number task	performance non-symbolic trials $\downarrow$
P10	М	32	left temporal	recording	awake	supramarginal/angular gyrus	25	yes	number task	
P11	М	67	left frontal	recording	awake	supramarginal/angular gyrus	25	yes	number task	
P12	М	71	left insular	recording	awake	angular/supramarginal gyrus	25	N/A	N/A	no data acquisition intracerebral hemorrhage (unrelated to implantation)
P13	F	59	left central	recording	awake	supramarginal/postcentral gyrus	25	yes	number task	sudden SNR drop